Exhibit A

To: SCOTT N. SCHOOLS (South Carolina Bar No. 9990) 1 2 United States Attorney JENNIFER S WANG (California Bar No. 233155) 3 4 Chief, Civil Division 5 Assistant United States Attorney 6 7 450 Golden Gate Avenue, Box 36055 8 San Francisco, California 94102-3495 9 Telephone: (415) 436-6967 Facsimile: (415) 436-6748 10 11 Email: jennifer.s.wang@usdoj.gov 12 13 CASE NO. C07-02747 SI 14 Jeffrey W. Tam, Plaintiff 15 Request for document production John E Potter, Defendant (s) 16 Postmaster General 17 **United States Postal Services** 18 19 Plaintiff resides at: 20 Address 408 Yorkshire Road 21 City, State & Zip Code: Alameda, Ca 94501 22 23 Phone (510) 522-5534 24 Defendant is located at: 25 26 Address: 27 City, State & Zip Code: Washington, D.C. 20036 28 1 The driver run signed up sheet for year 2002, 2003 and 2005. 29 2 The name of the Promotion Board members and the results, "Who passed the promotion 30 board for year 2001 and 2002." 31 3 The Form 991 of the promoted supervisor from year 2000 to 2003 32 4 The clock ring of Jeffrey Tam from 02/26/05 to 08/25/05 33 34 5 Bull Mail Assistant tour signed up sheet for year 2005. 6 Accident and medical report for Jeffrey Tam from 12/26/06 35 36 7 No Call In Policy for Overtime. "What did Mr. Chadha refer to when he said "No Call In Policy for Overtime:? 37 38 8 The Year 2007 original annual leave signed up sheet, "I want to examine this sheet with the management." 39

### 11.

#### **CASE NO. C07-02747 SI**

Request for document production

#### **CERTIFICATE OF SERVICE**

The undersigned herby certifies that he is an employee of the United States Postal Services. The undersigned certifies that this complaint is served by First Class Mail

To: SCOTT N. SCHOOLS (South Carolina Bar No. 9990)

**United States Attorney** 

JOANN M. SWANSON (California Bar No. 88143)

Chief, Civil Division

**Assistant United States Attorney** 

450 Golden Gate Avenue, Box 36055

San Francisco, California 94102-3495

Telephone: (415) 436-6967 Facsimile: (415) 436-6748

Email: jennifer.s.wang@usdoj.gov

I declare under penalty of prejury under the laws of the United States that the foregoing is true and correct.

Jan a Tour

Executed on October 2, 2007 at Alameda, California

Jeffrey W. Tam

Defendant

408 Yorkshire Road

Alameda, Ca 94501

# Exhibit B

1 2 3 4	SCOTT N. SCHOOLS (SCBN 9990) United States Attorney JOANN M. SWANSON (CSBN 88143) Chief, Civil Division JENNIFER S WANG (CSBN 233155) Assistant United States Attorney		
5 6	450 Golden Gate Avenue, Box 36055 San Francisco, California 94102-3495 Telephone: (415) 436-6967 Facsimile: (415) 436-6748		
7	Attorneys for Federal Defendant		
8			
9	UNITED STATES DISTRICT COURT		
10	NORTHERN DISTRICT OF CALIFORNIA		
11	SAN FRANCISCO DIVISION		
12	JEFFREY W. TAM,	) No. 07-2747 SI	
13	Plaintiff,	DEFENDANT'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S FIRST	
14	v.	SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS	
15	JOHN E. POTTER,	)	
16	Defendant.	<b>\</b>	
17		<b>)</b>	
18	PROPOUNDING PARTY: PLAINTIFF JEFFREY W. TAM		
19	RESPONDING PARTY: DEFENDANT	•	
20	SET NO.: ONE		
21	Pursuant to Federal Rule of Civil Procedure 34, defendant John E. Potter hereby objects		
22	and responds to plaintiff's first request for production of documents ("the Request").		
23	<u>PRELIMINARY STATEMENT</u>		
24	1. Defendant has made a diligent search and reasonable inquiry in an effort to respond to the		
25	Request. However, discovery is continuing and defendant's investigation into the facts relating		
26	to this litigation is ongoing and incomplete. Accordingly, defendant responds to the Request		
27	based on the information presently available to it and without prejudice to its right to amend or		
28	supplement its responses and present evidence that may hereafter be discovered or become		
	available to it.		

- 2. Inadvertent production of any document subject to any applicable privilege or doctrine, including, but not limited to, the attorney-client privilege and work product doctrine, is not intended to be, and shall not operate as, a waiver of any such privilege or doctrine, in whole or in part; nor is any such inadvertent production intended to be, nor shall it constitute, a waiver of the right to object to any use of such document, or of the information contained therein.
- 3. Defendant has made reasonable efforts to respond to the Request, to the extent it has not been objected to, as defendant understands and interprets the Request. If plaintiff subsequently asserts an interpretation of any request that differs from that of defendant, defendant reserves the right to supplement its objections and responses.
- 4. Defendant's responses are made without in any way intending to waive or waiving, but on the contrary, intended to preserve and preserving:
- (a) The right to raise all questions of authenticity, foundation, relevancy, materiality, privilege and admissibility as evidence for any purpose of any information identified in response to the Request that may arise in any subsequent proceeding in, or the trial of, this or any other action.
- (b) The right to object to the use of these responses in any subsequent proceeding in, or the trial of, this or any other action on any grounds;
  - (c) The right to object to the introduction into evidence of these responses; and
- (d) The right to object on any ground at any time to the other requests for production or other discovery involving the subject matter thereof.

#### **GENERAL OBJECTIONS**

Defendant asserts the following objections to each request contained in the Request:

- A. Defendant objects to the each request to the extent it seeks documents that are not relevant to the claim or defense of any party, or that otherwise exceed the bounds of discovery set forth in Federal Rule of Civil Procedure 26.
- B. Defendant objects to each request to the extent it is vague, ambiguous, compound, and/or unintelligible.

- C. Defendant objects to each request to the extent it is repetitive, overly broad, and/or unduly burdensome.
- D. Defendant objects to each request to the extent it seeks documents protected by any applicable privilege, including attorney-client privilege, government privileges such as the deliberative process privilege, and the work product doctrine.
- E. Defendant objects to each request to the extent it seeks documents that are confidential and/or private.
- F. Defendant objects to each request to the extent it seeks documents that are protected from disclosure by any applicable statute (including the Privacy Act), regulation or law, or the Constitution. Defendant has withheld information protected by disclosure by the Privacy Act and/or other applicable regulations and laws.
- G. Defendant objects to each request to the extent it seeks documents not in defendant's possession, custody or control.
- H. Defendant objects to each request to the extent it seeks documents in plaintiff's possession, custody, or control, documents already produced to plaintiff, and/or documents equally available to plaintiff from third parties.
- I. Defendant objects to each request to the extent it lacks foundation/assumes facts not in evidence.
- J. Defendant objects to each request to the extent it calls for a legal conclusion.
- K. By making these responses or agreeing to produce any documents, defendant does not concede the documents are discoverable or the request is proper, or that the information sought is relevant. Further, by stating in these responses that it will produce documents, defendant does not represent that any document actually exists, but rather that defendant will make a reasonable, good faith search and attempt to ascertain whether any responsive documents do, in fact, exist.
- L. Defendant reserves the right to amend or supplement these responses.

- M. Except for explicit facts admitted herein, no admissions of any nature whatsoever are implied or should be inferred from these objections and responses.
- N. Defendant incorporates all of the above objections into each response below. By raising any similar or different objections below, defendant does not waive any of its general objections.

#### RESPONSES TO REQUESTS

#### REQUEST NO. 1:

The driver run signed up sheet for 2002, 2003, and 2005.

#### **RESPONSE TO REQUEST NO. 1:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the terms "driver run signed up sheet." Defendant objects to the extent this request seeks documents that are protected from disclosure by the Privacy Act. Defendant also objects to this request as overly broad, especially as to time, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant has produced or will produce the tractor/trailer bid assignments for 2005. Defendant no longer possesses copies of the bid assignments for 2002 and 2003.

#### REQUEST NO. 2:

The name of the Promotion Board members and the results, "Who passed the Promotion Board for year 2001 and 2002."

#### **RESPONSE TO REQUEST NO. 2:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant also objects that this request seeks not documents but information, and is therefore beyond the

DEF'S OBJ. & RESP. TO PL.'S FIRST REQ. FOR PROD. OF DOCS. C07-2747 SI

scope of Rule 34. Defendant objects to this request as vague and ambiguous, especially as to the terms "the results," "the name of the Promotion Board members," and "who passed the Promotion Board." Defendant objects to the extent this request seeks documents that are protected from disclosure by the Privacy Act. Defendant also objects to this request as overly broad, both in time and in subject matter, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Based on the foregoing objections, defendant will not respond to this request. Defendant does not have sufficient information to determine the applicable Promotion Board or the Postal Service position referenced in the request.

#### **REOUEST NO. 3:**

The Form 991 of the promoted supervisor from year 2000 to 2003.

#### **RESPONSE TO REQUEST NO. 3:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the term "the promoted supervisor." Defendant objects to the extent this request seeks documents that are protected from disclosure by the Privacy Act. Defendant also objects to this request as overly broad, as to both time and subject matter, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Based on the foregoing objections, defendant will not respond to this request. Defendant does not have sufficient information to determine what supervisor is referenced in the request.

#### REQUEST NO. 4:

The clock ring of Jeffrey Tam from 2/28/05 to 8/25/05.

DEF'S OBJ. & RESP. TO PL.'S FIRST REQ. FOR PROD. OF DOCS. C07-2747 SI 5

#### RESPONSE TO REQUEST NO. 4:

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the term "clock ring." Defendant also objects to this request as overly broad, especially as to time, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant has produced or will produce all non-privileged, responsive documents in its control, custody or possession.

#### REQUEST NO. 5:

Bull Mail Assistant tour signed up sheet for year 2005.

#### **RESPONSE TO REQUEST NO. 5:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the terms "bull mail" and "signed up sheet." Defendant also objects to this request as overly broad, especially as to time, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant has produced or will produce all non-privileged, responsive documents in its control, custody or possession.

#### REQUEST NO. 6:

Accident and medical report for Jeffrey Tam for 12/26/06.

#### RESPONSE TO REQUEST NO.6:

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous. Defendant also objects to this request as overly

DEF'S OBJ. & RESP. TO PL.'S FIRST REQ. FOR PROD. OF DOCS.

C07-2747 SI

broad, especially as to time. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant is still in the process of determining whether such a report exists and will supplement its response as necessary.

#### REQUEST NO. 7:

No Call in Policy for Overtime. "What did Mr. Chadha refer to when he said "No call in policy for overtime?"

#### **RESPONSE TO REQUEST NO. 7:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the term "no call in policy for overtime." Defendant further objects that this request seeks not documents but information, and is therefore beyond the scope of Rule 34. Defendant also objects to this request as overly broad, as to both time and subject matter, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Mr. Chadha wrote a letter in 2002 to all supervisors, advising them that they should not call employees at home to request that they work overtime. There was no agreement with the union to call employees at home to request overtime work. Defendant is in the process of locating that letter and will supplement its responses as necessary.

#### **REQUEST NO. 8:**

The Year 2007 original annual leave signed up sheet, "I want to examine this sheet with management."

#### **RESPONSE TO REQUEST NO. 8:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action.

DEF'S OBJ. & RESP. TO PL.'S FIRST REQ. FOR PROD. OF DOCS. C07-2747 SI 7 Defendant objects to this request as vague and ambiguous, especially as to the term "original annual leave signed up." Defendant also objects to this request as overly broad, especially as to time, and unduly burdensome. Defendant further objects that this request seeks not documents but information, and is therefore beyond the scope of Rule 34. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Based on the foregoing objections, defendant is currently trying determine whether such a document exists, and will supplement its responsive as necessary.

Respectfully submitted,

SCOTT N. SCHOOLS United States Attorney

Dated: November 5, 2007

Assistant United States Attorney

DEF'S OBJ. & RESP. TO PL.'S FIRST REQ. FOR PROD. OF DOCS. C07-2747 SI

#### CERTIFICATE OF SERVICE

The undersigned hereby certifies that she is an employee of the Office of the United States Attorney for the Northern District of California and is a person of such age and discretion to be competent to serve papers. The undersigned further certifies that she is causing a copy of the following:

#### **DEFENDANT'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S** FIRST SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS

## Jeffrey W. Tam v. John E. Potter

	Case No. CV 07-2747 JCS
to be	served this date upon the party in this action by placing a true copy thereof in a sealed
envel	ope, and served as follows:
	FIRST CLASS MAIL by placing such envelope(s) with postage thereon fully prepaid in the designated area for outgoing U.S. mail in accordance with this office's practice.
	<b>CERTIFIED MAIL</b> (#) by placing such envelope(s) with postage thereon fully prepaid in the designated area for outgoing U.S. mail in accordance with this office's practice.
<del></del>	ELECTRONIC MAIL
<u>X</u>	FEDERAL EXPRESS
	FACSIMILE (FAX) Telephone No.: See Below
to the	party(ies) addressed as follows:
408 Y Alam	y W Tam orkshire Road eda, CA 94501 522-5534 SE
	I declare under penalty of perjury under the laws of the United States that the foregoing is

true and correct.

Executed on November 5, 2007 at San Francisco, California.

Legal Assistant

Exhibit C

	H		
1 2 3 4 5 6 7 8	SCOTT N. SCHOOLS (SCBN 9990) United States Attorney JOANN M. SWANSON (CSBN 88143) Chief, Civil Division JENNIFER S WANG (CSBN 233155) Assistant United States Attorney  450 Golden Gate Avenue, Box 36055 San Francisco, California 94102-3495 Telephone: (415) 436-6967 Facsimile: (415) 436-6748  Attorneys for Federal Defendant		
9	UNITED STATES DISTRICT COURT  NORTHERN DISTRICT OF CALIFORNIA		
10	SAN FRANCISCO DIVISION		
12	JEFFREY W. TAM,	) No. 07-2747 SI	
13	Plaintiff,	) DEFENDANT'S FIRST AMENDED	
14	v.	OBJECTIONS AND RESPONSES TO PLAINTIFF'S FIRST SET OF	
15	JOHN E. POTTER,	<ul><li>REQUESTS FOR PRODUCTION OF DOCUMENTS</li></ul>	
16	Defendant.		
17		_}	
18	PROPOUNDING PARTY: PLAINTIFF JEFFREY W. TAM		
19	RESPONDING PARTY: DEFENDANT		
20	SET NO.: ONE		
21	Pursuant to Federal Rule of Civil Pro	ocedure 34, defendant John E. Potter hereby provides	
22	amended objections and responses to plaintiff's first request for production of documents ("the		
23	Request").		
24		ARY STATEMENT	
25	_	and reasonable inquiry in an effort to respond to the	
26	Request. However, discovery is continuing and defendant's investigation into the facts relating		
27	to this litigation is ongoing and incomplete. Accordingly, defendant responds to the Request		
28	based on the information presently available	to it and without prejudice to its right to amend or	

supplement its responses and present evidence that may hereafter be discovered or become available to it.

- 2. Inadvertent production of any document subject to any applicable privilege or doctrine, including, but not limited to, the attorney-client privilege and work product doctrine, is not intended to be, and shall not operate as, a waiver of any such privilege or doctrine, in whole or in part; nor is any such inadvertent production intended to be, nor shall it constitute, a waiver of the right to object to any use of such document, or of the information contained therein.
- 3. Defendant has made reasonable efforts to respond to the Request, to the extent it has not been objected to, as defendant understands and interprets the Request. If plaintiff subsequently asserts an interpretation of any request that differs from that of defendant, defendant reserves the right to supplement its objections and responses.
- 4. Defendant's responses are made without in any way intending to waive or waiving, but on the contrary, intended to preserve and preserving:
- (a) The right to raise all questions of authenticity, foundation, relevancy, materiality, privilege and admissibility as evidence for any purpose of any information identified in response to the Request that may arise in any subsequent proceeding in, or the trial of, this or any other action.
- (b) The right to object to the use of these responses in any subsequent proceeding in, or the trial of, this or any other action on any grounds;
  - (c) The right to object to the introduction into evidence of these responses; and
- (d) The right to object on any ground at any time to the other requests for production or other discovery involving the subject matter thereof.

#### **GENERAL OBJECTIONS**

Defendant asserts the following objections to each request contained in the Request:

A. Defendant objects to each request to the extent it seeks documents that are not relevant to the claim or defense of any party, or that otherwise exceed the bounds of discovery set forth in Federal Rule of Civil Procedure 26.

27

- B. Defendant objects to each request to the extent it is vague, ambiguous, compound, and/or unintelligible.
- C. Defendant objects to each request to the extent it is repetitive, overly broad, and/or unduly burdensome.
- D. Defendant objects to each request to the extent it seeks documents protected by any applicable privilege, including attorney-client privilege, government privileges such as the deliberative process privilege, and the work product doctrine.
- E. Defendant objects to each request to the extent it seeks documents that are confidential and/or private.
- F. Defendant objects to each request to the extent it seeks documents that are protected from disclosure by any applicable statute (including the Privacy Act), regulation or law, or the Constitution. Defendant has withheld information protected by disclosure by the Privacy Act and/or other applicable regulations and laws.
- G. Defendant objects to each request to the extent it seeks documents not in defendant's possession, custody or control.
- H. Defendant objects to each request to the extent it seeks documents in plaintiff's possession, custody, or control, documents already produced to plaintiff, and/or documents equally available to plaintiff from third parties.
- Defendant objects to each request to the extent it lacks foundation/assumes facts not in evidence.
- J. Defendant objects to each request to the extent it calls for a legal conclusion.
- K. By making these responses or agreeing to produce any documents, defendant does not concede the documents are discoverable or the request is proper, or that the information sought is relevant. Further, by stating in these responses that it will produce documents, defendant does not represent that any document actually

3

5

6

4

7

9

8

10

11

12

13 14

15 16

17

18 19

20 21

22

23

24 25

26

27

28

exists, but rather that defendant will make a reasonable, good faith search and attempt to ascertain whether any responsive documents do, in fact, exist.

- Defendant reserves the right to amend or supplement these responses. L.
- Except for explicit facts admitted herein, no admissions of any nature whatsoever M. are implied or should be inferred from these objections and responses.
- Defendant incorporates all of the above objections into each response below. By N. raising any similar or different objections below, defendant does not waive any of its general objections.

#### RESPONSES TO REQUESTS

#### REQUEST NO. 1:

The driver run signed up sheet for 2002, 2003, and 2005.

#### **RESPONSE TO REQUEST NO. 1:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the terms "driver run signed up sheet." Defendant objects to the extent this request seeks documents that are protected from disclosure by the Privacy Act. Defendant also objects to this request as overly broad, especially as to time, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant has produced or will produce the tractor/trailer bid assignments for 2005. Defendant has located a copy of the 2002 bid assignment and has produced a copy to plaintiff. Defendant no longer possesses copies of the bid assignments for 2003.

#### REQUEST NO. 2:

The name of the Promotion Board members and the results, "Who passed the Promotion Board for year 2001 and 2002."

DEF'S 1st AM. OBJ. & RESP. TO PL.'S FIRST REQ. FOR PROD. OF DOCS. C07-2747 SI

#### **RESPONSE TO REQUEST NO. 2:**

б

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant also objects that this request seeks not documents but information, and is therefore beyond the scope of Rule 34. Defendant objects to this request as vague and ambiguous, especially as to the terms "the results," "the name of the Promotion Board members," and "who passed the Promotion Board." Defendant objects to the extent this request seeks documents that are protected from disclosure by the Privacy Act. Defendant also objects to this request as overly broad, both in time and in subject matter, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant has produced the promotion package from September 2003. Defendant has located no other non-privileged documents responsive to this request.

#### REQUEST NO. 3:

The Form 991 of the promoted supervisor from year 2000 to 2003.

#### **RESPONSE TO REQUEST NO. 3:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the term "the promoted supervisor." Defendant objects to the extent this request seeks documents that are protected from disclosure by the Privacy Act. Defendant also objects to this request as overly broad, as to both time and subject matter, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant has produced the

promotion package from September 2003. Defendant has located no other non-privileged documents responsive to this request.

#### REQUEST NO. 4:

The clock ring of Jeffrey Tam from 2/28/05 to 8/25/05.

#### **RESPONSE TO REQUEST NO. 4:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the term "clock ring." Defendant also objects to this request as overly broad, especially as to time, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant has produced or will produce all non-privileged, responsive documents in its control, custody or possession.

#### REQUEST NO. 5:

Bull Mail Assistant tour signed up sheet for year 2005.

#### **RESPONSE TO REQUEST NO. 5:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the terms "bull mail" and "signed up sheet." Defendant also objects to this request as overly broad, especially as to time, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant has produced or will produce all non-privileged, responsive documents in its control, custody or possession.

#### REQUEST NO. 6:

Accident and medical report for Jeffrey Tam for 12/26/06.

DEF'S 1st AM. OBJ. & RESP. TO PL.'S FIRST REQ. FOR PROD. OF DOCS. C07-2747 SI 6

#### **RESPONSE TO REQUEST NO.6:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous. Defendant also objects to this request as overly broad, especially as to time. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant has produced a copy of an Authorization for Medical Attention dated October 11, 2007. Defendant has located no other non-privileged documents responsive to this request.

#### REQUEST NO. 7:

No Call in Policy for Overtime. "What did Mr. Chadha refer to when he said "No call in policy for overtime?"

#### **RESPONSE TO REQUEST NO. 7:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the term "no call in policy for overtime." Defendant further objects that this request seeks not documents but information, and is therefore beyond the scope of Rule 34. Defendant also objects to this request as overly broad, as to both time and subject matter, and unduly burdensome. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Mr. Chadha wrote a letter in 2003 to all supervisors, advising them that they should not call employees at home to request that they work overtime. There was no agreement with the union to call employees at home to request overtime work. Defendant has produced a copy of Mr. Chadha's 2003 email.

#### REQUEST NO. 8:

The Year 2007 original annual leave signed up sheet, "I want to examine this sheet with management."

### **RESPONSE TO REQUEST NO. 8:**

Defendant incorporates all general objections. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the term "original annual leave signed up." Defendant also objects to this request as overly broad, especially as to time, and unduly burdensome. Defendant further objects that this request seeks not documents but information, and is therefore beyond the scope of Rule 34. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant has produced all nonprivileged documents responsive to this request.

15

1

2

3

4

5

6

7

8

9

10

11

12

13

14

16

17

18

19 20 Dated: December 21, 2007

21

22

23

24

25

26

27

28

Respectfully submitted,

SCOTT N. SCHOOLS United States Attorney

JENNIFER'S WANG Assistant United States Atterney

#### CERTIFICATE OF SERVICE

The undersigned hereby certifies that she is an employee of the Office of the United States Attorney for the Northern District of California and is a person of such age and discretion to be competent to serve papers. The undersigned further certifies that on December 21, 2007, she caused a copy of:

## DEFENDANT'S FIRST AMENDED OBJECTIONS AND RESPONSES TO PLAINTIFF'S FIRST SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS

to be served by mail upon the person at the place and address(es) stated below, which is the last known address:

Jeffrey W. Tam	
408 Yorkshire Road	
Alameda, CA 94501	
(510) 522-5534	

I declare under penalty of perjury under the laws of the United States that the foregoing is

true and correct.

Dated: December 21, 2007

**BONNY WONG** 

Legal Assistant

DEF'S 1st AM. OBJ. & RESP. TO PL.'S FIRST REQ. FOR PROD. OF DOCS. C07-2747 SI

4 5

Exhibit D

```
1 Jeffrey W. Tam
 2 408 Yorkshire Road
 3 Alameda, Ca 94501
 4
 5
 6 January 28, 2008
 7
 8 SCOTT N. SCHOOLS (SCBN 9990)
 9 United States Attorney
10 JOANN M. SWANSON (CSBN 88143)
11 Chief, Civil Division
12 JENNIFER S WANG (CSBN 233155)
13 Assistant United States Attorney
14
15 450 Golden Gate Avenue, Box 36055
16 San Francisco, California 94102-3495
17 Tel: (415) 436-6967
18 Fax: (415) 436-6748
19
                          UNITED STATES DISTRICT COURT
20
                      NORTHERN DISTRICT OF CALIFORNIA
21
                           SAN FRANCISCO DIVISON
22
23
                                   No. 07-2747 SI
24 JEFFREY W. TAM
                                   PLAINTIFF'S FIFTH SET OF REQUESTS
25
      Plaintiff
                                   FOR PRODUCTION OF DOCUMENTS
26
       ٧.
27 JOHN E. POTTER
      Defendant
28
29
30
31 Re: Jeffrey W. Tam v. John E. Potter, Postmaster General, United States Postal Services
        C07-02747 SI
32
33
34 Ms. Jennifer Wang,
35
36 Please provide the following:
    20 A list of applicant's name for the supervisor promotion provided by the Postal Service
37
         Personnel Department for year 1999, 2000, 2001, 2002 & 2003.
38
    21 A list of applicant's name who are selected by the board for the supervisor promotion
39l
         for year 1999, 2000, 2001, 2002 & 2003.
40
41
42
43
44
```

#### 1 CASE NO. 07-02747 SI

CERTIFICATE OF SERVICE

The undersigned herby certifies that he is an employee of the United States Postal Services. The undersigned certifies that this complaint is served by First Class Mail.

8 SCOTT N. SCHOOLS (SCBN 9990)

9 United States Attorney

10 JOANN M. SWANSON (CSBN 88143)

11 Chief, Civil Division

12 JENNIFER S WANG (CSBN 233155)

13 Assistant United States Attorney

15 450 Golden Gate Avenue, Box 36055 16 San Francisco, California 94102-3495

I declare under penalty of prejury under the laws of the United States 20 that the foregoingis true and correct.

Executed on January 28, 2008 at Alameda, California

408 Yorkshire Road Alameda, Ca 94501

# Exhibit E

supplement its responses and present evidence that may hereafter be discovered or become

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

available to it.

- 2. Inadvertent production of any document subject to any applicable privilege or doctrine, including, but not limited to, the attorney-client privilege and work product doctrine, is not intended to be, and shall not operate as, a waiver of any such privilege or doctrine, in whole or in part; nor is any such inadvertent production intended to be, nor shall it constitute, a waiver of the right to object to any use of such document, or of the information contained therein.
- 3. Defendant has made reasonable efforts to respond to the Request, to the extent it has not been objected to, as defendant understands and interprets the Request. If plaintiff subsequently asserts an interpretation of any request that differs from that of defendant, defendant reserves the right to supplement its objections and responses.
- 4. Defendant's responses are made without in any way intending to waive or waiving, but on the contrary, intended to preserve and preserving:
- (a) The right to raise all questions of authenticity, foundation, relevancy, materiality, privilege and admissibility as evidence for any purpose of any information identified in response to the Request that may arise in any subsequent proceeding in, or the trial of, this or any other action.
- (b) The right to object to the use of these responses in any subsequent proceeding in, or the trial of, this or any other action on any grounds;
  - (c) The right to object to the introduction into evidence of these responses; and
- (d) The right to object on any ground at any time to the other requests for production or other discovery involving the subject matter thereof.

#### **GENERAL OBJECTIONS**

Defendant asserts the following objections to each request contained in the Request:

- A. Defendant objects to each request to the extent it seeks documents that are not relevant to the claim or defense of any party, or that otherwise exceed the bounds of discovery set forth in Federal Rule of Civil Procedure 26.
- B. Defendant objects to each request to the extent it is vague, ambiguous, compound, and/or unintelligible.

- C. Defendant objects to each request to the extent it is repetitive, overly broad, and/or unduly burdensome.
- D. Defendant objects to each request to the extent it seeks documents protected by any applicable privilege, including attorney-client privilege, government privileges such as the deliberative process privilege, and the work product doctrine.
- E. Defendant objects to each request to the extent it seeks documents that are confidential and/or private.
- F. Defendant objects to each request to the extent it seeks documents that are protected from disclosure by any applicable statute (including the Privacy Act), regulation or law, or the Constitution. Defendant has withheld information protected by disclosure by the Privacy Act and/or other applicable regulations and laws.
- G. Defendant objects to each request to the extent it seeks documents not in defendant's possession, custody or control.
- H. Defendant objects to each request to the extent it seeks documents in plaintiff's possession, custody, or control, documents already produced to plaintiff, and/or documents equally available to plaintiff from third parties.
- I. Defendant objects to each request to the extent it lacks foundation/assumes facts not in evidence.
- J. Defendant objects to each request to the extent it calls for a legal conclusion.
- K. By making these responses or agreeing to produce any documents, defendant does not concede the documents are discoverable or the request is proper, or that the information sought is relevant. Further, by stating in these responses that it will produce documents, defendant does not represent that any document actually exists, but rather that defendant will make a reasonable, good faith search and attempt to ascertain whether any responsive documents do, in fact, exist.
- L. Defendant reserves the right to amend or supplement these responses.

- M. Except for explicit facts admitted herein, no admissions of any nature whatsoever are implied or should be inferred from these objections and responses.
- N. Defendant incorporates all of the above objections into each response below. By raising any similar or different objections below, defendant does not waive any of its general objections.

#### **RESPONSES TO REQUESTS**

#### REQUEST NO. 20:

A list of applicant's name for the supervisor promotion provided by the Postal Service Personnel Department for year 1999, 2000, 2001, 2002 & 2003.

#### **RESPONSE TO REQUEST NO. 20:**

Defendant incorporates all general objections. Defendant objects that this request seeks not documents but information, and is therefore beyond the scope of Rule 34. Defendant further objects that this request is duplicative of Requests number 2 and 3, and Interrogatory number 1. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous, especially as to the phrases "for the supervisor promotion," and "provided by . . . for year 1999, 2000, 2001, 2002 & 2003." Defendant also objects to this request as overly broad and unduly burdensome. Defendant objects to each request to the extent it seeks documents in plaintiff's possession, custody, or control, and/or documents equally available to plaintiff from third parties. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant understands plaintiff's request to refer to applicants for promotions to supervisor of transportation positions posted on November 10, 1999; January 31, 2000; March 6, 2001; January 15, 2002; and September 10, 2003. Pursuant to defendant's retention period for promotion packages, defendant no longer possesses application materials related to the November 1999; January 2000; March 2001; or

January 2002 postings for promotions to supervisor of transportation. Defendant has produced information related to the September 10, 2003 posting for promotion to supervisor of transportation, and refers plaintiff to documents bates stamped USPS0605 to USPS0683. Defendant has located no other non-privileged documents responsive to this request. REQUEST NO. 21:

A list of applicant's name who are selected by the board for the supervisor promotion for year 1999, 2000, 2001, 2002 & 2003.

#### **RESPONSE TO REQUEST NO. 21:**

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

Defendant incorporates all general objections. Defendant objects that this request seeks not documents but information, and is therefore beyond the scope of Rule 34. Defendant further objects that this request is duplicative of Requests number 2 and 3, and Interrogatory number 1. Defendant further objects to the extent this request seeks documents that are irrelevant to the claims and defenses in this action. Defendant objects to this request as vague and ambiguous especially as to the phrases "who are selected by the board," and "the supervisor promotion." Defendant also objects to this request as overly broad and unduly burdensome. Defendant objects to each request to the extent it seeks documents in plaintiff's possession, custody, or control, and/or documents equally available to plaintiff from third parties. Defendant also objects to the extent this request seeks documents that are protected by the attorney-client or work product privilege.

Subject to and without waiving the foregoing objections and based on defendant's understanding of this request, defendant responds as follows: Defendant understands plaintiff's request to refer to applicants for promotions to supervisor of transportation positions posted on November 10, 1999; January 31, 2000; March 6, 2001; January 15, 2002; and September 10, 2003. Pursuant to defendant's retention period for promotion packages, defendant no longer possesses application materials related to the November 1999; January 2000; March 2001; or January 2002 postings for promotions to supervisor of transportation. Defendant has produced information related to the September 10, 2003 posting for promotion to supervisor of transportation, and refers plaintiff to documents bates stamped USPS0605 to USPS0683.

Respectfully submitted,

United States Attorney

JOSEPH R. RUSSONIELLO

Assistant United States Attorney

Defendant has located no other non-privileged documents responsive to this request.

Dated: February 29, 2008

DEF'S OBJ. & RESP. TO PL.'S FIFTH REQ. FOR PROD. OF DOCS. C07-2747 SI 6

#### CERTIFICATE OF SERVICE

The undersigned hereby certifies that she is an employee of the Office of the United States Attorney for the Northern District of California and is a person of such age and discretion to be competent to serve papers. The undersigned further certifies that on February 29, 2008 she caused a copy of:

## DEFENDANT'S OBJECTIONS AND RESPONSES TO PLAINTIFF'S FIFTH SET OF REQUESTS FOR PRODUCTION OF DOCUMENTS

to be served by mail upon the person at the place and address(es) stated below, which is the last known address:

Jeffrey W. Tam	
408 Yorkshire Road	
Alameda, CA 94501	
(510) 522-5534	

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

Dated: February 29, 2008

б

**BÖNNY WONG** 

Legal Assistant

DEF'S OBJ. & RESP. TO PL.'S FIFTH REQ. FOR PROD. OF DOCS. C07-2747 SI